

# STIFEL

## WEALTH TRACKER

## A How-To Guide For International Clients



***Stifel Wealth Tracker contains many of the same functions as our legacy portal and many more enhancements.*** Additionally, Stifel Wealth Tracker offers a robust suite of documents that are eligible for eDelivery within the platform. Enrolling in Wealth Tracker will provide you with the following digital amenities for your Stifel accounts:

- ▶ ***Create a personal balance sheet,*** comparing your assets and liabilities.
- ▶ ***Monitor your portfolio*** of assets and how they're performing in real time.
- ▶ ***Take an in-depth look at your holdings:*** What you own, how much you own, and in what accounts they are held.
- ▶ Access a 12-month, forward-looking view of the ***projected income*** in your Stifel accounts.
- ▶ ***Track spending*** and illustrate your net worth.
- ▶ Understand the companies you're invested in with Stifel's ***nationally recognized research*** and investment insights.

*Click to View*

**Enrollment**

**My Documents**

Shareholder Notices

**Delivery Settings**

eDelivery Enrollment

## Enrollment Guide

**1** With the help of your Financial Advisor, complete an **International Enrollment Form** and e-mail back to your Financial Advisor.

**STIFEL WEALTH TRACKER INTERNATIONAL CLIENT ACCESS AND E-DELIVERY SERVICE REQUEST**

The Client Access and e-Delivery Service Request Authorization ("Authorization") shall serve as direction to and authorization for Stifel, National & Company, Incorporated and/or its affiliates (collectively, "Stifel," "we," "us," or "our") to establish and provide you with temporary login credentials that you can use to access our online financial information retrieval and management platform ("Stifel Wealth Tracker").

Stifel Wealth Tracker allows you to, among other things, view your accounts and manage the delivery method of information to you about your Stifel accounts, including most accounts, investment services, and product related documentation (collectively, "Stifel Communications").

You are entitled to receive Stifel Communications in paper form. Through Stifel Wealth Tracker, you may direct us to send Stifel Communications to you electronically, via our e-Delivery Service.

With this Authorization, in addition to directing us to establish temporary Stifel Wealth Tracker login credentials for you, you are directing us to enroll all of the below-listed accounts, and all future accounts opened under the same Social Security or Taxpayer ID number as our e-Delivery Service, and you hereby consent to receive all Stifel Communications for these accounts that are available through our e-Delivery Service using the e-mail address you have provided.

Your enrollment in our e-Delivery services will become effective once you log in to Stifel Wealth Tracker and agree to the Stifel Wealth Tracker Terms of Use Agreement, which include the full terms for our e-Delivery Service, and Stifel Wealth Tracker Privacy Statement. Note that you will be required to change the temporary login credentials when you first log in to Stifel Wealth Tracker, and that you may change your e-Delivery preferences at any time. Note also, however, that you may still receive paper copies of Stifel Communications that have already been queued for paper delivery or which we are required to send pursuant to applicable law or regulation.

**Stifel Client Name(s)**  
(check all that apply for joint accounts)

**E-Mail Address:** \_\_\_\_\_ **Cell Phone Number:** \_\_\_\_\_

I (we) hereby agree to hold harmless and indemnify Stifel, and its parent, subsidiaries, and affiliates, and their respective past and present officers, directors, employees, and agents against any and all loss, liability, claims, damage, or expense (including without limitation, judgments, amounts paid in settlement, costs, and attorney's fees) arising out of or in relation to the account granted by this Authorization, and the authorization to initiate e-Delivery.

**Client Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Joint Party Signature(s) of applicability:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Account Name(s)** \_\_\_\_\_ **Account Number(s)** \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Reviewed by:**

**Branch Manager Name:** \_\_\_\_\_ **Branch Manager Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

[www.stifel.com/ClientAccessForm](http://www.stifel.com/ClientAccessForm)  
[www.stifel.com/RegistrationForm](http://www.stifel.com/RegistrationForm)

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Stifel, National & Company, Incorporated (Member SIPC & NYSE) | www.stifel.com | 871671-1213

Page 1 of 3

**2** You will receive an e-mail with a link to create your custom password and complete the validation steps necessary for enrollment.

**3** The first time you go through validation, **select to receive a secure access code via e-mail.**

**STIFEL | WEALTH TRACKER**

**Secure Access**

For security purposes, we need to verify your information. How do you want to receive your verification code?

**Email:** johnxxx@stifex.com

**SMS:** (XXX) XXX-2215

**4** The second time you go through validation, select to receive a secure access code via text.

Wealth Tracker now offers a convenient way to view and vote shareholder notices! Instead of being directed to a separate website and needing to provide a control number, you can now view and vote your shareholder notices with the click of a button on the Shareholder Notices page in Wealth Tracker.

STIFEL WEALTH TRACKER

Welcome back, Good Afternoon, Valued Client

Home

Portfolio

Research & News

Manage Accounts

My Financial Advisor

My Documents

Statements

Confirms

Tax Forms

**Shareholder Notices**

Other Notices

Settings

Important Information

Log Off

Your Accounts

Groups

VIEWING

All Accounts

Stifel - XXXX-XXXX Updated now

Account Home

Net Worth

\$9,977.52

↓ -\$104.07 -1.03%

Holdings

Activity

Cash Flow

Balance Sheet Review

Projected Income

Documents

Shareholder Notices

Sign up for Electronic Delivery

04/25/23 - 05/24/23

Filters


Search

Date	Account	Description	Dist. Type	Category
5/11/23	Valued Client XXXX-XXXXXX	EXAMPLE ABC Description Description	Prospectus	Prospectus
Delivery Type		Status		
Sent via email		NEW - 5/11/23		
5/11/23	Valued Client XXXX-XXXXXX	EXAMPLE ABC Description Description	Prospectus	Prospectus
5/11/23	Valued Client XXXX-XXXXXX	EXAMPLE ABC Description Description	Prospectus	Prospectus
5/11/23	Valued Client XXXX-XXXXXX	EXAMPLE ABC Description Description	Prospectus	Prospectus

### Here are a few important points:

- ▶ **The new page is easy to use** – upon opening the page, you will see all available notices from the past 30 days with options to view or vote appearing on the right-hand side. Notices from different time periods can be viewed by clicking the calendar button at the top of the page.
- ▶ **Shareholder notices are available for a specific period of time based upon their distribution type:**
  - *Proxy* – 7 calendar days after the due date
  - *Regulatory* – 45 calendar days after the received date
  - *Prospectus* – 45 calendar days after the received date

- ▶ **A blue Vote button will be visible until you have placed your vote.** If you expand the section by clicking on the arrow on the left side of the notice, an indicator will show whether the document is new or has already been viewed. Once you have voted, the blue Vote button will be replaced by a green Voted indicator. Please note that it may take up to 15 minutes after your vote has been placed for the indicator to be updated.

▼ 8/20/20	Zebra 1530-8731	Rubbermaid Incorporated Limited Test Tes	Proxy	Annual meeting	 Voted
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- ▶ If you share your account with someone else, the other party will have view-only capability and not have the option to vote.
- ▶ Your Stifel Financial Advisor will have view-only capabilities to help you keep track of your shareholder notices.

Stifel Wealth Tracker allows you to easily and conveniently select how you would like to receive Statements, Confirms, Tax Forms, Shareholder Notices, and Other Notices. The Delivery section of our Account Settings tab allows you to select whether you would like to receive these important documents in an all-paper format or electronically via e-mail. You can also customize your preferences by either document type or set specific preferences for any or all of your accounts.

- 1 Log in to your Stifel Wealth Tracker account. Select Settings, Account Settings, then select the Delivery tab.

The screenshot displays the Stifel Wealth Tracker interface. On the left is a dark blue navigation sidebar with the Stifel Wealth Tracker logo at the top. The sidebar contains a list of menu items: Home, Portfolio, Research & News, Manage Accounts, My Financial Advisor, My Documents, Settings (highlighted with a red border), Profile, Account Settings (highlighted with a red border), Alerts, Security Preferences, Important Information, and Log Off. The main content area is white and titled 'Account Settings'. Below the title are two tabs: 'Delivery' (selected) and 'Nicknames'. The 'Delivery Method' section contains a descriptive paragraph and four radio button options: 'Paper Documents', 'Email Delivery' (selected), 'Customized by Document', and 'Customized by Account'. Below these options is a 'Show Accounts' button. At the bottom of the page, the 'Emails Delivered to' section shows 'email@email.com' and an 'Edit Profile' link. A welcome message 'Welcome back, Good Afternoon, Valued Client' is visible in the top right corner of the main content area.

- 2 Within the Delivery tab, select how you want eligible document types delivered. The Delivery Method options are:
- ▶ Paper Documents: Statements, Confirms, Tax Forms, Shareholder Notices, and Other Notices for all accounts will be delivered via mail.
  - ▶ E-Mail Delivery: A notice will be delivered to the e-mail address affiliated with the Stifel Wealth Tracker ID when a new Statement, Confirm, Tax Form, Shareholder Notice, or Other Notice is available to be viewed electronically.
  - ▶ Customized by Document: Customize Paper or E-Mail Delivery for Statements, Confirms, Tax Forms, Shareholder Notices, and Other Notices which will be applied to all accounts. See additional details that follow.
  - ▶ Customized by Account: Customize Paper or E-Mail Delivery for Statements, Confirms, Tax Forms, Shareholder Notices, and Other Notices for each account individually. See additional details that follow.

### Delivery Method

Remove the clutter from your mailbox by opting into email delivery of document notifications. By going paperless you have the option to no longer receive paper copies of these document types; Statements, Trade Confirms, Tax Forms, Shareholder Notices and Other Notices. ⓘ

Paper Documents

Email Delivery

Customized by Document

Customized by Account

Show Accounts



### Customized by Document

Customize the delivery methods for each unique document type. The delivery method selected for **each unique document type** will be applied to **all accounts**.

STIFEL WEALTH TRACKER Welcome back, Good Afternoon, Valued Client

Home  
Portfolio  
Research & News  
Manage Accounts  
My Financial Advisor  
My Documents  
**Settings**  
Profile  
**Account Settings**  
Alerts  
Security Preferences  
Important Information  
Log Off

### Account Settings

Delivery Nicknames

**Delivery Method**  
Remove the clutter from your mailbox by opting into email delivery of document notifications. By going paperless you have the option to no longer receive paper copies of these document types; Statements, Trade Confirms, Tax Forms, Shareholder Notices and Other Notices. ⓘ

Paper Documents  Email Delivery  Customized by Document  Customized by Account

Statements	Confirms	Tax Forms	Shareholder Notices	Other Notices
<input type="radio"/> Paper Documents	<input type="radio"/> Paper Documents	<input type="radio"/> Paper Documents	<input type="radio"/> Paper Documents	<input type="radio"/> Paper Documents
<input checked="" type="radio"/> Email Delivery	<input checked="" type="radio"/> Email Delivery	<input checked="" type="radio"/> Email Delivery	<input checked="" type="radio"/> Email Delivery	<input checked="" type="radio"/> Email Delivery

Show Accounts

Emails Delivered to  
email@email.com

[Edit Profile](#)

### Future Accounts

Select the Delivery Method that should be applied to future accounts you may open at Stifel. If you make no election in this field, the default delivery method will be Paper Documents. You can make changes to this election at any time.

The screenshot displays the 'Account Settings' page in the Stifel Wealth Tracker interface. The left sidebar contains navigation links: Home, Portfolio, Research & News, Manage Accounts, My Financial Advisor, My Documents, Settings (highlighted), Profile, Account Settings (highlighted), Alerts, Security Preferences, Important Information, and Log Off. The main content area is titled 'Account Settings' and has two tabs: 'Delivery' (selected) and 'Nicknames'. Under the 'Delivery Method' section, there is a description: 'Remove the clutter from your mailbox by opting into email delivery of document notifications. By going paperless you have the option to no longer receive paper copies of these document types; Statements, Trade Confirms, Tax Forms, Shareholder Notices and Other Notices.' Below this are four radio button options: 'Paper Documents', 'Email Delivery', 'Customized by Document', and 'Customized by Account' (which is selected and highlighted with a red box). A 'Hide Accounts' button is located below these options. The 'Future Accounts' section, also highlighted with a red box, contains the text 'Elections made here will automatically set delivery preferences for new accounts.' and a dropdown menu set to 'Email Delivery'. Below this is the 'Valued Client' section, which shows a masked ID 'XXXX-XXXXXX' and a dropdown menu set to 'Customized by Document'. At the bottom of this section is a table of delivery preferences for various document types:

Statements	Confirms	Tax Forms	Shareholder Notices	Other Notices
<input type="radio"/> Paper Documents <input checked="" type="radio"/> Email Delivery	<input type="radio"/> Paper Documents <input checked="" type="radio"/> Email Delivery	<input type="radio"/> Paper Documents <input checked="" type="radio"/> Email Delivery	<input type="radio"/> Paper Documents <input checked="" type="radio"/> Email Delivery	<input type="radio"/> Paper Documents <input checked="" type="radio"/> Email Delivery

At the bottom of the page, there is a section for 'Emails Delivered to' with the email address 'email@email.com' and a link to 'Edit Profile'.

**Questions?**

Contact Stifel Wealth Tracker at  
**(866) 697-8433** or **wealthtracker@stifel.com**.

**Monday – Friday**  
6:00 a.m. – 7:00 p.m. Central

**Saturday**  
7:30 a.m. – 4:00 p.m. Central